

Form PTO-1449

Applicants:

Serial No.:

Filing Date:

For:

RICHARD S. DICK

10/057,337

JANUARY 23, 2002

METHOD AND APPARATUS FOR
REQUESTING AND RETRIEVING
DE-IDENTIFIED MEDICAL INFORMATION

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Technology Center 2100

Sheet 1 of 2

Atty Docket No. 9595.9

Group Art Unit: Not Yet Known

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GROUP 3000

U.S. Patent Documents

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date
CG A1.	6,182,047	01/30/01	DIRBAS	705	3	06/02/95
A2.	6,151,581	11/21/00	KRAFTSON ET AL.	705	3	12/16/97
A3.	6,131,090	10/10/00	BASSO, JR. ET AL.	706	23	03/04/97
A4.	6,128,620	10/03/00	PISSANOS ET AL.	707	102	02/02/99
A5.	6,125,350	09/26/00	DIRBAS	705	2	09/20/95
A6.	6,088,695	07/11/00	KARA	707	10	09/17/96
A7.	6,076,166	06/13/00	MOSHFEQHI ET AL.	713	201	01/17/97
A8.	6,073,106	06/06/00	ROZEN ET AL.	705	3	10/30/98
A9.	6,055,506	04/25/00	FRASCA, JR.	705	3	04/25/97
A10.	6,034,605	03/07/00	MARCH	340	573.1	12/08/98
A11.	6,026,363	02/15/00	SHEPARD	705	3	01/06/98
A12.	6,018,713	01/25/00	COLI ET AL.	705	2	04/09/98
A13.	5,995,939	11/30/99	BERMAN ET AL.	705	3	10/14/97
A14.	5,991,730	11/23/99	LUBIN ET AL.	705	3	10/08/97
A15.	5,974,389	10/26/99	CLARK ET AL.	705	3	03/01/96
A16.	5,953,809	09/21/99	KOWALSKI	29	521	09/25/97
A17.	5,924,074	07/13/99	EVANS	705	3	09/27/96
A18.	5,913,197	06/15/99	KAMEDA	705	3	11/07/96
A19.	5,899,998	05/04/99	McGAULEY ET AL.	707	104	08/31/95
A20.	5,890,129	03/30/99	SPURGEON	705	4	05/30/97
A21.	5,867,821	02/02/99	BALLANTYNE ET AL.	705	2	02/16/96
CG A22.	5,823,948	10/20/98	ROSS, JR. ET AL.	600	300	07/08/96

Examiner:

/Christopher Gilligan/

Date Considered:

06/12/2006

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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Sheet 2 of 2

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Serial No.: 10/057,337

Filing Date: JANUARY 23, 2002

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DE-IDENTIFIED MEDICAL INFORMATION

Technology Center 2100 Group Art Unit: Not Yet Known

Atty Docket No. 9595.9

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CG	A23.	5,832,450	11/03/98	MYERS ET AL.	705	3	05/05/97
	A24.	5,812,983	09/22/98	KUMAGAI	705	3	08/03/95
	A25.	5,772,585	06/30/98	LAVIN ET AL.	600	300	08/30/96
	A26.	5,644,778	07/01/97	BURKS ET AL.	395	800	11/02/93
	A27.	5,579,393	11/26/96	CONNER ET AL.	380	25	06/21/94
	A28.	5,499,293	03/12/96	BEHRAM ET AL.	380	4	01/24/95
↓	A29.	5,327,341	07/05/94	WHALEN ET AL.	364	413.01	10/28/91
CG	A30.	5,291,399	03/01/94	CHACO	364	413.02	07/27/90

Prior Art Cited by Applicants

While the filing of prior art statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper prior art statement, Form PTO-1449 shall be accompanied by an explanation of relevance of each listed item; a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all prior art citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as prior art cited by the Examiner on Form PTO-892.

The reference designations "A1", "A2", etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A", "B", "C", etc. on Office Action Form PTO-1142.

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Examiner: /Christopher Gilligan/ Date Considered: 06/12/2006

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (modified)

Atty. Docket No.

UHGI:152US

Serial No.

10/057,337

List of Patents and Publications for Applicant's

INFORMATION DISCLOSURE STATEMENT

Applicant

Richard S. Dick

Filing Date:

January 23, 2002

Group:

2166

(Use several sheets if necessary)

U.S. Patent Documents

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Foreign Patent Documents

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Other Art

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U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
CG	A31	09/883,884		Dick			6/18/01
	A32	09/596,810		Dick			6/13/00
	A33	2002/0116227	8/22/02	Dick	705	3	1/25/02
	A34	2001/0053986	12/20/01	Dick	705	3	2/27/01
	A35	5,065,315	11/12/91	Garcia	364	413.01	10/24/89
	A36	5,301,105	04/05/94	Cummings	364	401	04/08/91
	A37	5,659,741	08/19/97	Eberhardt	395	615	04/17/95
	A38	5,799,282	8/25/98	Rakshit <i>et al.</i>	705	2	5/19/92
	A39	5,832,488	11/03/98	Eberhardt	707	10	1/22/97
	A40	5,850,442	12/15/98	Muftic	380	21	3/26/96
	A41	5,862,223	1/19/99	Walker <i>et al.</i>	380	25	7/24/96
	A42	5,867,821	02/02/99	Ballantyne <i>et al.</i>	705	2	2/16/96
	A43	5,913,197	6/15/99	Kameda	705	3	11/7/96
	A44	5,960,085	9/28/99	De la Huerga	340	5.61	4/14/97
	A45	6,018,713	01/25/00	Coli <i>et al.</i>	705	2	04/09/98
	A46	6,047,259	4/04/00	Campbell <i>et al.</i>	705	3	12/30/97
	A47	6,073,106	06/06/00	Rozen <i>et al.</i>	705	3	10/30/98
	A48	6,076,166	06/13/00	Moshfeghi <i>et al.</i>	713	201	01/17/97
	A49	6,243,480	6/05/01	Zhao <i>et al.</i>	382	100	4/30/98
	A50	6,269,348	7/31/01	Pare <i>et al.</i>	705	39	1/29/99
V	A51	6,370,139	4/09/02	Scott	370	354	10/24/97
CG	A52	6,651,060	11/18/03	Harper <i>et al.</i>	707	9	11/01/00

25563213.1

EXAMINER: /Christopher Gilligan/

DATE CONSIDERED: 06/12/2006

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)

Atty. Docket No.

UHGI:152US

Serial No.

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Applicant

Richard S. Dick

INFORMATION DISCLOSURE STATEMENT

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U.S. Patent Documents

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Foreign Patent Documents

See Page 2

Other Art

See Page 1

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
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Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
CG	B1	DE 3943097	07/1991	Germany			Abstract

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation

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DATE CONSIDERED: 06/12/2006

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

